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PATENT

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of Michael L. LIGHTSTONE, et al.

Confirmation No.: 4561

Serial No.: 10/688,254

Group Art Unit: 2655

Filed: October 16, 2004

Examiner: Not Yet Assigned

For: **APPARATUS, SYSTEM, AND METHOD FOR VIDEO ENCODER RATE CONTROL****Mail Stop AMENDMENT**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL**

Enclosed are the following documents:

1. Information Disclosure Statement Under 37 C.F.R. 1.97(b) (2 pages);
2. Form PTO/SB/08A (1 pages)
3. Copies of references D1-D3 (15 pages)
4. Copies of cover page of references F1-F2 (2 pages)
5. European Search Report (5 pages); and
6. A return receipt postcard.

- ☒ In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS is required.
- ☐ In accordance with 37 C.F.R. §1.97(c), also enclosed is:
- ☐ the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p); or
- ☐ a statement as specified in 37 C.F.R. §1.97(e).
- ☐ In accordance with 37 C.F.R. §1.97(d), a statement as specified in 37 C.F.R. § 1.97(e) and the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) are also enclosed.
- ☐ Check No. \_\_\_ in the amount of \$\_\_\_ for the total fee is attached.
- ☐ Please charge \$\_\_\_ to Deposit Account No. (PA) 03-3117 for the total fee. This paper is being submitted in duplicate.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. (PA) 03-3117.


Dated: April 5, 2005

Cooley Godward LLP  
ATTN: Patent Group  
Five Palo Alto Square  
3000 El Camino Real  
Palo Alto, CA 94306-2155  
Tel: (650) 843-5000  
Fax: (650) 857-0663

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Respectfully submitted,  
**COOLEY GODWARD LLP**

By:

  
\_\_\_\_\_  
Edward A. Van Gieson  
Reg. No. 44,386

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PTO/SB/08A (08-00)

Substitute for form 1449A/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

## **Complete if Known**

Application Number	10/688,254
Filing Date	October 16, 2004
First Named Inventor	Michael L. LIGHTSTONE, et al.
Group Art Unit	2655
Examiner Name	Not Assigned
Attorney Docket Number	NVID-072/00US

Sheet 1 of 1

## **U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code <sup>2</sup> (if known)		

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
	F1	EP	1 091 588		SONY COPORATION	04-11-2001	
	F2	EP	1 465 430		ST. MICROELECTRONICS ASIA PACIFIC LTD	10-06-2004	

## **OTHER - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>7</sup>
	D1	YOKOYAMA, et al., "A scene-adaptive one-pass variable bit rate video coding method for storage media" (10-24-1999), IMAGE PROCESSING, 1999. ICIP 99. PROCEEDING. 1999 INTERNATIONAL CONFERENCE ON KOBE, JAPAN, pages 827-831.	
	D2	YU SUN, ET AL: "Synchronous and asynchronous multiple object rate control for MPEG-4 video coding" PROCEEDINGS 2003 INTERNATIONAL CONFERENCE ON IMAGE PROCESSING. ICIP-2003. BARCELONA, SPAIN, SEPT. 14-17, 2003 Vol. 2 of 3 pages 801-804 (09-14-2003)	
	D3	FAN, J., ET AL: "Real-time video applications over ABR using FASTRAC-a rate control algorithm" IEEE CANADIAN CONFERENCE ON ELETRICAL AND COMPUTER ENGINEERING. CCECE 2002. Winnipeg, Manitoba, Canada, May 12-15, 2002, CANADIAN CONFERENCE ON ELECTRICAL AND COMPUTER ENGINEERING, NEW YORK, NY: IEEE, US, VOL. 1 OF 3 (05-12-2002), pages 1565-1570.	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number.

<sup>2</sup> See attached Kinds of U.S. Patent Documents.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

<sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.